

# Aggresomes: A Cellular Response to Misfolded Proteins

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**Abstract.** Intracellular deposition of misfolded protein aggregates into ubiquitin-rich cytoplasmic inclusions is linked to the pathogenesis of many diseases. Why these aggregates form despite the existence of cellular machinery to recognize and degrade misfolded protein and how they are delivered to cytoplasmic inclusions are not known. We have investigated the intracellular fate of cystic fibrosis transmembrane conductance regulator (CFTR), an inefficiently folded integral membrane protein which is degraded by the cytoplasmic ubiquitin-proteasome pathway. Overexpression or inhibition of proteasome activity in transfected human embryonic kidney or Chinese hamster ovary cells led to the accumulation of stable, high molecular weight, detergent-insoluble, multiubiquitinated forms of CFTR. Using immunofluorescence and transmission electron microscopy with immunogold labeling, we demonstrate

that undegraded CFTR molecules accumulate at a distinct pericentriolar structure which we have termed the aggresome. Aggresome formation is accompanied by redistribution of the intermediate filament protein vimentin to form a cage surrounding a pericentriolar core of aggregated, ubiquitinated protein. Disruption of microtubules blocks the formation of aggresomes. Similarly, inhibition of proteasome function also prevented the degradation of unassembled presenilin-1 molecules leading to their aggregation and deposition in aggresomes. These data lead us to propose that aggresome formation is a general response of cells which occurs when the capacity of the proteasome is exceeded by the production of aggregation-prone misfolded proteins.

**Key words:** ubiquitin • proteasome • intermediate filaments • protein aggregation • presenilin

**P**ROTEIN folding is the process by which a linear polymer of amino acids is converted to a unique three-dimensional structure that comprises a functional protein molecule. Folding requires selection of one structure out of a constellation of sterically available but incorrect conformers. In cells, protein folding may fail because of amino acid misincorporation resulting from genetic mutation or errors in transcription, mRNA processing, or translation. Alternatively, environmental factors such as thermal stress (Vidair et al., 1996), osmotic and oxidative stress (Buchner, 1996), or interference from viral gene products (Wiertz et al., 1996a; Levitskaya et al., 1997) can interfere with the folding of nascent polypeptides. Finally, unequal synthesis of subunits of heterooligomeric protein complexes can lead to the production of unassembled polypeptide chains (Bonifacino et al., 1989). Many misfolded and unassembled proteins inappropriately expose hydrophobic surfaces that are normally buried in the protein's interior or at the interface with other subunits (Wetzel, 1994). Integral membrane proteins possess extensive hydrophobic stretches, which are normally imbedded in

the lipid bilayer. Failure to correctly translocate and integrate such proteins could result in exposure of these hydrophobic side chains to the aqueous environment of the cytosol. Such inappropriate exposure of hydrophobic sequences can lead to the adoption of alternate, nonnative conformations that can interact to form aggregates.

Protein aggregates are generally difficult to unfold or to degrade; their formation in cells is tightly linked to the etiologies of several common aging-related degenerative diseases including amyloidoses, slow virus encephalopathies, and Alzheimer's disease (Wetzel, 1994; Carrell and Lomas, 1997). In fact, many neurodegenerative diseases are defined histopathologically by characteristic intracellular inclusions composed of aggregated, ubiquitinated protein and abnormal deposition of intermediate filament (IF)<sup>1</sup> protein (Mayer et al., 1989a). However, the mechanism by which protein aggregates can kill cells, or indeed, whether the presence of aggregates in diseased neurons is a cause or a consequence of underlying cellular pathology, has yet to be convincingly established.

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1. *Abbreviations used in this paper:* ALLN, acetyl-leucyl-leucyl-norleucinal; CFTR, cystic fibrosis transmembrane conductance regulator; HEK, human embryonic kidney 293; IF, intermediate filaments; LAMP, lysosomal membrane protein; manII,  $\alpha$ -mannosidase II; MT, microtubules; MTOC, microtubule-organizing center; PS, presenilin.

Given the potentially pathogenic consequences of intracellular protein aggregation, it is not surprising that eukaryotic cells have evolved mechanisms to prevent their formation or accumulation. Molecular chaperones bind nonnative protein conformations, sequestering misfolded protein or aggregation-prone folding intermediates from the cytosol, thereby reducing the likelihood of aggregate formation (Hartl, 1996). However, many misfolded proteins are targeted by covalent attachment of multiubiquitin chains for degradation by the proteasome (Haas and Siepmann, 1997). This pathway serves to degrade misfolded cytoplasmic proteins as well as membrane and secretory proteins that are unable to fold in the ER (Kopito, 1997; Sommer and Wolf, 1997). Such proteins must be dislocated across the ER membrane for degradation by cytoplasmic proteasomes. Recent genetic and biochemical evidence suggests that dislocation proceeds by "retrotranslocation" of the misfolded polypeptide through a membrane channel composed of the Sec61p translocon (Wiertz et al., 1996b; Plemper et al., 1997), and that this process is coupled to the activity of the 26S proteasome (Mayer et al., 1998). Coupling of membrane dislocation and proteolysis in this manner is important because even transient exposure of hydrophobic integral membrane proteins to the cytosol could pose a serious aggregation risk to the cell. In this study we investigated the effects of proteasome inhibition on the intracellular fate of two integral membrane proteins, cystic fibrosis transmembrane conductance regulator (CFTR) and presenilin-1 (PS1).

CFTR is an ~165-kD polytopic glycoprotein which encodes a Cl<sup>-</sup> ion channel in the plasma membrane of epithelial cells (Riordan et al., 1989). Loss-of-function mutations in the gene encoding CFTR cause the autosomal recessive disease, cystic fibrosis (reviewed in Welsh and Smith, 1993). The most common CF-causing allele,  $\Delta F508$ , drastically interferes with the protein's ability to fold, effectively barring it from being functionally expressed. Previously, we (Ward and Kopito, 1994) and others (Lukacs et al., 1994) have demonstrated that wild-type CFTR is an inefficiently folded protein with only 20–40% of nascent chains being able to mature beyond the ER. Newly synthesized CFTR and  $\Delta F508$  molecules that fail to fold productively are rapidly eliminated from cells by a process requiring covalent modification with ubiquitin and degradation by the proteasome (Jensen et al., 1995; Ward et al., 1995). Inhibition of CFTR and  $\Delta F508$  degradation with proteasome inhibitors such as acetyl-leucyl-leucyl-norleucinal (ALLN), lactacystin (Ward et al., 1995), ZL3H, or MG132 (Ward, C.L., and R.R. Kopito, unpublished data) fails to increase the folding yield, and instead leads to the intracellular accumulation of detergent-insoluble, multiubiquitinated high molecular weight CFTR aggregates.

PS1 is an ~43-kD integral membrane protein which, like CFTR, spans the lipid bilayer multiple times (Doan et al., 1996). PS1 is ubiquitously expressed at low levels in mammalian cells, where it is predominantly localized to the ER. PS1 has been also reported to be present in the vicinity of the Golgi apparatus (Kovacs et al., 1996; De Strooper et al., 1997; Lah et al., 1997; Zhang et al., 1998) and the centrosome (Li et al., 1997). Mutations in the *PS1* gene cosegregate with autosomal dominant early-onset familial Alzheimer's disease (Tanzi et al., 1996; Cruts et al., 1998).

Endogenous PS1 is endoproteolytically processed into ~27-kD NH<sub>2</sub>-terminal and 17-kD COOH-terminal fragments (Thinakaran et al., 1996). Transfection of PS1 (Thinakaran et al., 1996) or the highly homologous PS2 (Kim, 1997) into mammalian cells leads to increased steady-state accumulation of processed and unprocessed forms, suggesting that the cellular machinery required for presenilin processing may be limiting under conditions of overexpression. Kim et al. (1997) have reported that excess unprocessed (i.e., full-length) PS2 is targeted for proteasomal degradation by multiubiquitination and that inhibition of proteasome activity in PS2 transfected cells leads to the accumulation of high molecular weight, multiubiquitinated PS2 derivatives. These and other (Thinakaran et al., 1997) data suggest that limiting cellular factors restrict the extent to which newly synthesized presenilins are processed and that unprocessed forms may be (like CFTR) substrates for degradation by the ubiquitin-proteasome pathway.

In this study we have characterized the cellular and molecular response to the formation of aggregates of misfolded CFTR and PS1. Our data indicate that aggregation occurs when the capacity of the proteasome degradation pathway is exceeded, either by an increase in substrate expression or by a decrease in proteasome activity. Once formed, these aggregates become refractory to intracellular proteolysis and are delivered to an ubiquitin-rich structure at the microtubule (MT)-organizing center (MTOC) where they are ensheathed by the IF protein vimentin. We have termed this structure the aggresome. Our data show that aggresomes can be formed from aggregates of several different integral membrane proteins and lead us to propose that aggresomes are a general cellular response to the presence of aggregated, undegraded protein.

## Materials and Methods

### Antibodies and Plasmids

The rabbit polyclonal anti-CFTR antibody C1468 has been described previously (Ward and Kopito, 1994). The following antibodies were used in this study: rabbit polyclonal anti- $\alpha$ -mannosidase II (anti-manII; M. Farquhar, University of California at San Diego, San Diego, CA; Velasco et al., 1993), polyclonal anti- $\beta$ -COP (S. Pfeffer, Stanford University), monoclonal  $\gamma$ -tubulin (clone: GTU-88; Sigma Chemical Co., St. Louis, MO), monoclonal  $\alpha$ -tubulin (DM1a; T. Stearns, Stanford University), rabbit polyclonal antibody to PS1<sub>NT</sub> (1–65 aa) (G. Thinakaran, Johns Hopkins University, Baltimore, MD; Thinakaran et al., 1996), mouse monoclonal anti-human lysosomal membrane protein-1 (LAMP-1, BB6; M. Fukuda, Burnham Institute, La Jolla, CA; Carlsson et al., 1988), polyclonal anti-ubiquitin (A. Haas, Medical College of Wisconsin, Milwaukee, WI), monoclonal c-myc (monoclonal Ab-1; Oncogene Science, Manhasset, NY), polyclonal and monoclonal anti-GFP (Clontech, Palo Alto, CA), and monoclonal vimentin (clone V9; Sigma Chemical Co.). pRC/CMV CFTR and  $\Delta F508$ -CFTR, as well as c-myc-ubiquitin plasmids, have been described previously (Ward et al., 1995). GFP-CFTR-expressing plasmid (B. Stanton, Dartmouth Medical School, Hanover, NH; Moyer et al., 1998) was used as a template for construction of the GFP- $\Delta F508$  by site-directed mutagenesis with the megaprimer method (Landt et al., 1990; Sarkar and Sommer, 1990). pcDNA3 PS1- and A246E-expressing plasmids have been described elsewhere (Group, 1995).

### Cell Lines and Cell Culture

Human embryonic kidney 293 (HEK) cells were maintained in DME and transfected as described previously (Ward et al., 1995). Stable GFP-CFTR-expressing cell lines were maintained in the same media with the

addition of 2 mg/ml G418. Transient transfections were carried out by adding plasmid DNA as a calcium phosphate precipitate (Graham and Eb, 1973). Stable cell lines were prepared by transfection with Lipofectamine (GIBCO BRL, Gaithersburg, MD) followed after 48 h by selection of transformed cells by growth in 1 mg/ml Geneticin (G418). These G418 resistant cells were then analyzed by flow cytometry and the top 20% fluorescent cells were isolated. This population of cells was then expanded and assayed for expression by immunoblotting with antibodies to GFP and CFTR.

### Pulse-Chase Experiments and Immunoblotting

Cells were pulse-labeled with [<sup>35</sup>S]methionine/cysteine for 15 min, harvested, and immunoprecipitated as described previously (Ward and Kopito, 1994). Loading volumes were normalized to the sample with the lowest TCA precipitable cpm. For immunoblotting, cell pellets from washed and transfected HEK cells were lysed in 250  $\mu$ l of ice-cold IPB buffer (10 mM Tris-HCl, pH 7.5, 5 mM EDTA, 1% NP-40, 0.5% deoxycholate, and 150 mM NaCl) plus protease inhibitors (100  $\mu$ M TLCK, 100  $\mu$ M TPCK, and 1 mM PMSF) for 30 min on ice. Insoluble material was recovered by centrifugation at 13,000 g for 15 min and solubilized in 50  $\mu$ l 10 mM Tris-HCl, 1% SDS for 10 min at room temperature. After addition of 200  $\mu$ l IPB, samples were sonicated for 20 s with a tip sonicator. Endoglycosidase H (Boehringer Mannheim, Mannheim, Germany) digestion was performed on immunoprecipitates overnight at 37°C in the buffer supplied by the manufacturer. Gel autoradiograms were quantified as described previously (Ward and Kopito, 1994). For immunoblotting, cell fractions normalized for total protein were separated on 7% SDS-PAGE and electroblotted. Chemiluminescent detection was carried out with the Renaissance detection kit (New England Nuclear, Boston, MA).

### Fluorescence Microscopy

Cells were seeded onto No. 1 coverslips. For drug treatments, ALLN (Calbiochem, La Jolla, CA) 5–10  $\mu$ g/ml and nocodazole (Sigma Chemical Co.) 10  $\mu$ g/ml in DMSO were added to the culture medium 12 h before fixation. Cells were fixed in –20°C methanol (6 min), 50% methanol/50% acetone (6 min at room temperature), 4% paraformaldehyde (followed by a 0.5% Triton X-100 permeabilization for 15 min), with no observable differences in aggresome morphology, or relative position to markers described in the results. After fixation, cells were washed extensively in PBS and blocked with 10% BSA for 10 min. Primary antibody was incubated for 30 min to 12 h. Cells were washed 5  $\times$  5 min in PBS and incubated with fluorophore conjugated secondary antibodies at a 1:100 dilution of 1 mg/ml stock. Cells were washed again (10  $\times$  2 min each) and then incubated for 3 min in PBS + bisbenzamide (Sigma Chemical Co.) at 10  $\mu$ g/ml to stain the DNA. Cells were washed a final time, mounted onto slides in 50% PBS/50% glycerol and viewed at 40 $\times$ /1.25 NA or 63 $\times$ /1.4 NA with a Zeiss Axioptot microscope. Images were obtained with Metamorph software (Universal Imaging) on a PC workstation. Montages of images were prepared by using Photoshop 4.01 (Adobe). Deconvolved microscope images were obtained by collecting images on an Olympus microscope with a 63 $\times$ /1.25 NA objective, and deconvolved using Deltavision software (Applied Precision) on a Silicon Graphics workstation. 8  $\mu$ m of optical sections 0.2  $\mu$ m thick was analyzed. The stereo pair image in Fig. 5 was obtained by a 6° tilt of an entire 8- $\mu$ m stack of images.

### EM

Cells were grown to 85% confluence in 100-mm dishes and treated overnight with 10  $\mu$ g/ml ALLN, washed once in PBS, and then fixed in freshly made AMT buffer (100 mM Hepes, pH 7.4, 5 mM MgCl<sub>2</sub>, 1% glycerol, and 2% glutaraldehyde [EMS]) for 35 min at room temperature. Cells were washed twice in phosphate buffer (100 mM phosphate buffer, pH 7.5), scraped, and collected by centrifugation for 10 min at 10,000 g. The fixed pellet of cells was then postfixed for 45 min in 2% OsO<sub>4</sub>, and then washed extensively in water. En bloc staining in 1% UA for 1 h was followed by a series of graded ethanol dehydrations (25, 50, 75, 85, 95, and 100%) followed by overnight incubation in 1:1 100% ethanol/LR White medium grade resin, or Polybed resin (Polysciences, Inc., Warrington, PA). After two changes of fresh 100% resin, the cell pellets were transferred to gelatin capsules and polymerized in fresh resin overnight at 45–60°C. Gold sections were cut and collected onto copper grids and stained with 1% UA for 3 min followed by 5 min in lead citrate to generate final contrast, before viewing in a JOEL electron microscope. For immunogold EM experiments, isolated aggresomes (see below) were incubated for 2 h in primary

antibody at 1:50 (anti-GFP) and 1:10 (antivimentin) by rotating end-over-end at 4°C. The aggresome material was then washed two to three times in PBS by sedimentation at 2,000 g. After the third wash, material was resuspended in 1:10 diluted gold-conjugated antibodies and rotated again for 1 h at 4°C. Labeled aggresomes were washed as before (three times in PBS collected by sedimentation at 2,000 g for 3 min), with the final wash followed by resuspension in 2% glutaraldehyde in PBS for 20 min. Fixed material was then collected at 10,000 g for 10 min. The resulting pellet was washed three times in PBS and postfixed en bloc in 2% OsO<sub>4</sub> for 20 min at room temperature. The pellet was washed extensively in water and then contrasted for 1 h in 1% UA. Ethanol dehydration and embedding was as described above. Gold sections were allowed to dry on nickel grids and were viewed with no further contrasting to maximize visualization of gold particles. Virtually no gold particles were observed when either primary antibody was omitted.

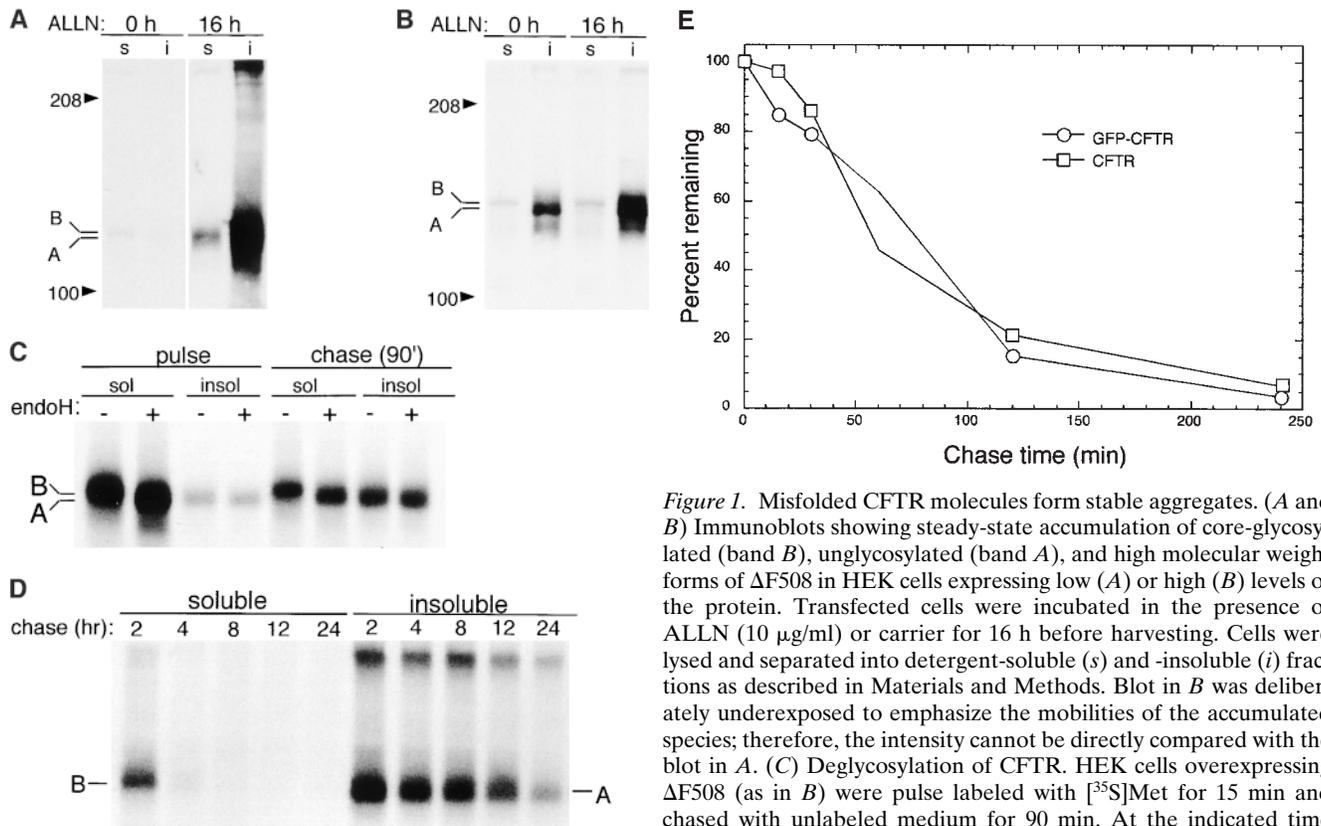
### Preparation of Aggresomes

Because the aggresome consists of a juxtannuclear cap of vimentin, the technique of Starger and Goldman, 1977) was modified to optimize coisolation of GFP-CFTR and IF. In brief, cells were grown to 85% confluency in 100-mm dishes and treated with 10  $\mu$ g/ml ALLN for 12 h before isolation. Cells were washed twice in PBSa (6 mM sodium-potassium phosphate buffer, 170 mM NaCl, 3 mM KCl), scraped, and collected for 3 min at 2,500 g. Washed cells were resuspended in 1 ml PBSa per 100-mm plate and passaged through a 25-gauge needle three to four times until bright-field microscopy revealed the majority of cells were disrupted. This material was washed by resuspension and sedimentation at 2,000 g three times in PBSa. The resulting material was examined by fluorescence microscopy for the presence of GFP-containing isolated aggresomes. This resulting cellular fraction enriched for aggresomes was collected a final time at 2,000 g and resuspended in 200  $\mu$ l of PBS/1% BSA. This served as the starting material for the immunoelectron microscopy techniques described above.

## Results

### Misfolded CFTR Molecules Form Stable Aggregates

Formation of CFTR aggregates was induced in  $\Delta$ F508-expressing HEK cells either by incubation with proteasome inhibitor (Fig. 1 A) or by overexpression (Fig. 1 B). When expressed at low levels (Fig. 1 A),  $\Delta$ F508 was barely detectable as a 140-kD core-glycosylated band that was largely detergent-soluble (band B). Exposure to the proteasome inhibitors ALLN (Fig. 1 A) or lactacystin (data not shown) led to a massive increase in the total amount of  $\Delta$ F508 present in these cells, consistent with the fact that this mutant form of CFTR cannot fold and is quantitatively degraded with  $t_{1/2}$  = 30–40 min (Lukacs et al., 1994; Ward and Kopito, 1994). Therefore, the low steady-state level of  $\Delta$ F508 in the absence of proteasome inhibitor must reflect the fact that the rate of  $\Delta$ F508 degradation in these cells is only slightly exceeded by the rate of its synthesis. Incubation with proteasome inhibitor increased primarily the levels of detergent-insoluble  $\Delta$ F508 forms which, as we have shown previously (Ward et al., 1995), correspond to aggregated and multiubiquitinated protein. Similarly, a 40-fold increase in  $\Delta$ F508 expression (Fig. 1 B) even in the absence of proteasome inhibitor led to an enormous increase in the level of insoluble  $\Delta$ F508. This material could be resolved into a band of mobility of unglycosylated  $\Delta$ F508 (band A; see below) and, to a lesser extent, a high molecular weight smear corresponding to aggregated, multiubiquitinated forms (the blot in Fig. 1 B was deliberately underexposed to reveal the relative mobilities of bands A and B, and therefore the absolute levels of expression cannot be compared with Fig. 1 A). Incuba-



**Figure 1.** Misfolded CFTR molecules form stable aggregates. (*A* and *B*) Immunoblots showing steady-state accumulation of core-glycosylated (band *B*), unglycosylated (band *A*), and high molecular weight forms of  $\Delta F508$  in HEK cells expressing low (*A*) or high (*B*) levels of the protein. Transfected cells were incubated in the presence of ALLN (10  $\mu\text{g}/\text{ml}$ ) or carrier for 16 h before harvesting. Cells were lysed and separated into detergent-soluble (*s*) and -insoluble (*i*) fractions as described in Materials and Methods. Blot in *B* was deliberately underexposed to emphasize the mobilities of the accumulated species; therefore, the intensity cannot be directly compared with the blot in *A*. (*C*) Deglycosylation of CFTR. HEK cells overexpressing  $\Delta F508$  (as in *B*) were pulse labeled with [ $^{35}\text{S}$ ]Met for 15 min and chased with unlabeled medium for 90 min. At the indicated time points, cell lysates were separated into detergent-soluble and -insoluble fractions before immunoprecipitation with CFTR antibody. Half of each sample was digested with endoglycosidase H (*endoH*) as indicated, before SDS-PAGE and autoradiography. (*D*) Extended pulse-chase of detergent-soluble and -insoluble  $\Delta F508$ . HEK cells overexpressing  $\Delta F508$  (as in *B*) were pulse labeled with [ $^{35}\text{S}$ ]Met for 15 min and chased with unlabeled medium for the times indicated. At each time point, the cell lysate was separated into detergent-soluble and -insoluble fractions before immunoprecipitation with CFTR antibody. (*E*) Kinetics of degradation of GFP-CFTR. HEK cells expressing CFTR or GFP-CFTR were pulse labeled with [ $^{35}\text{S}$ ]Met for 15 min and chased with unlabeled medium for the times indicated. The detergent-soluble fractions were immunoprecipitated with CFTR antibody, separated by SDS-PAGE; the data were scanned and quantified from autoradiographic images.

tion of  $\Delta F508$ -overexpressing cells with proteasome inhibitor led to an even further increase in the levels of insoluble forms of the protein. Similar results, both at high and low expression levels, were obtained for wild-type CFTR (data not shown), which is folded with only 30–40% efficiency (Lukacs et al., 1994; Ward and Kopito, 1994). The glycosylation status of the material labeled bands *A* and *B* was confirmed by susceptibility to endoglycosidase H (Fig. 1 *C*). Pulse-chase analysis of overexpressed  $\Delta F508$  revealed that it is initially synthesized as a core-glycosylated, endoglycosidase H-sensitive band (band *B*) that is nearly completely soluble in nonionic detergent. After a 90-min chase, a significant fraction of this material is converted to a detergent-insoluble form (band *A*) that is insensitive to endoglycosidase H and migrates with the mobility of the unglycosylated protein. That this material is unglycosylated is also supported by its inability to bind to the lectin concanavalin A (data not shown).

The stability of the aggregated protein was examined by extended pulse-chase analysis of cells overexpressing  $\Delta F508$  (Fig. 1 *D*). After a 15-min pulse and a 2-h chase, the protein partitioned into a detergent-soluble fraction, con-

taining mostly core-glycosylated  $\Delta F508$  (band *B*), and a detergent-insoluble fraction. The latter contained a mixture of unglycosylated  $\Delta F508$  (band *A*) and aggregated high molecular weight species. Subsequent chase revealed that, whereas the core-glycosylated form disappeared rapidly (the  $t_{1/2}$  of this species is only 20–30 min; Ward and Kopito, 1994), the insoluble forms decayed slowly, exhibiting a  $t_{1/2}$  in the range of 12–15 h. Together, these data suggest that intracellular accumulation of unglycosylated, detergent-insoluble, high molecular weight forms of  $\Delta F508$  can be induced either by inhibition or saturation of proteasome activity. Moreover, our data suggest that this process is associated with the conversion of core glycosylated, short-lived  $\Delta F508$  protein into unglycosylated stable aggregates.

#### Misfolded CFTR Molecules Cluster in Juxtannuclear Structures

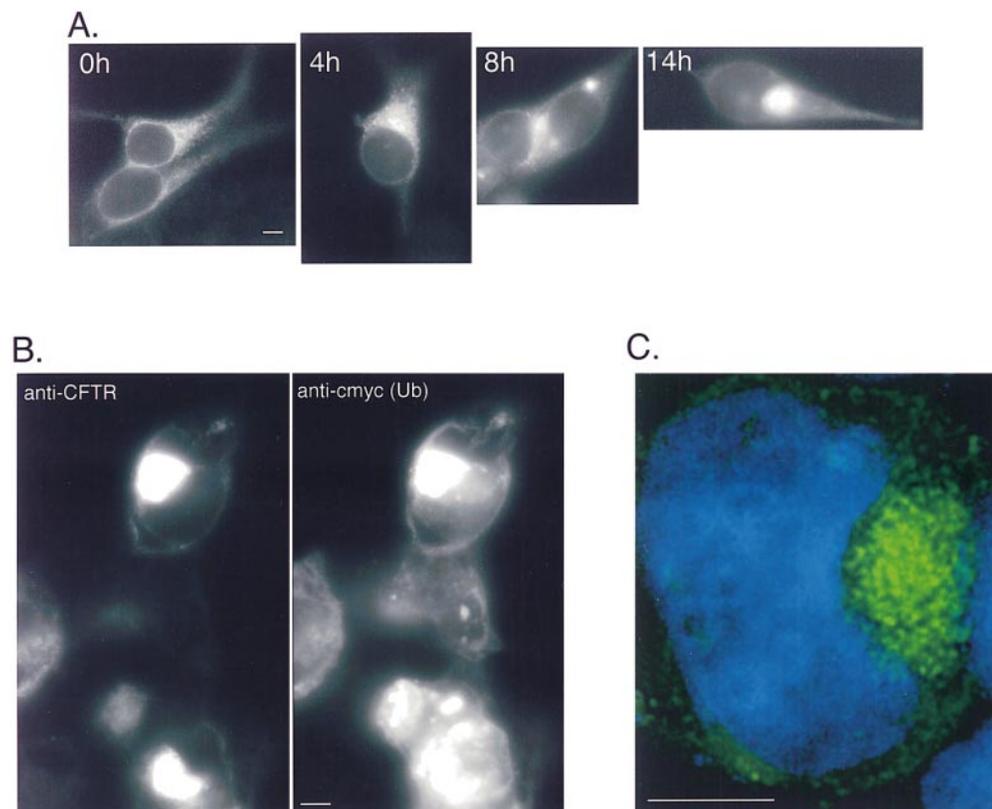
To determine the intracellular distribution of aggregated and nonaggregated forms of  $\Delta F508$ , fluorescence microscopy was used to monitor the expression of GFP-tagged

$\Delta F508$  after exposure to proteasome inhibitor (Fig. 2 A). The presence of the GFP tag has no detectable effect on the ability of GFP-CFTR to traffic normally through the secretory and the endocytic pathways (Moyer et al., 1998). Moreover, control experiments indicate that the presence of an NH<sub>2</sub>-terminal GFP tag does not alter the kinetics of  $\Delta F508$  or CFTR degradation (Fig. 1 E). In untreated cells, faint GFP fluorescence was detected in a perinuclear and reticular distribution (Fig. 2 A, 0 h), coincident with localization of the ER markers BiP and calnexin (data not shown). These data confirm our immunoblot data (Fig. 1 A) which indicated that, at low expression levels,  $\Delta F508$  is mostly in the core-glycosylated, ER form. Exposure to the proteasome inhibitor, ALLN (Fig. 2 A, 4–14 h), increased total cellular GFP fluorescence and increased the fluorescence signal at a distinct site adjacent to the nucleus. After 14 h of exposure to the inhibitor, nearly all of the GFP fluorescence was present in a single large, juxtannuclear structure that appears to impinge upon and to distort the contour of the nuclear envelope. Identical results were obtained in HEK cells expressing GFP-CFTR or transiently expressing wild-type (i.e., nonfusion) CFTR or  $\Delta F508$  (data not shown), confirming that the redistribution of the fluorescent signal in response to proteasome inhibitor was not unique to  $\Delta F508$  nor was it an artifact of the GFP fusion. Indistinguishable results were obtained using the more specific proteasome inhibitors, MG132 or lactacystin (data not shown). Finally, similar structures were observed

in CHO cells expressing CFTR and GFP-CFTR, indicating that this response is not unique to HEK cells (data not shown).

We found this structure to be extremely stable. For example, washout of the proteasome inhibitor for up to 8 h after overnight proteasome inhibition failed to significantly disrupt the juxtannuclear structure, even when the washout was conducted in the presence of cycloheximide to preclude addition of new GFP- $\Delta F508$ . These observations suggest that the juxtannuclear structure described in this paper is remarkably stable and is, by both biochemical and morphological criteria, composed of misfolded CFTR molecules, which are long-lived.

Because aggregated, detergent-insoluble forms of  $\Delta F508$  (and CFTR) that accumulate after proteasome inhibition are multiubiquitinated (Ward et al., 1995), we investigated whether or not ubiquitin was present in the juxtannuclear  $\Delta F508$ -containing structure that is formed in response to proteasome inhibition or overexpression. Staining of proteasome-inhibited cells transiently expressing  $\Delta F508$  with antibodies to CFTR and to ubiquitin revealed a colocalization of ubiquitin and CFTR immunoreactivity (data not shown). To confirm this result, cells were transiently transfected with  $\Delta F508$  cDNA together with excess plasmid encoding His<sub>6</sub>-c-myc-tagged ubiquitin and treated for 16 h with proteasome inhibitor before fixation and analysis by immunofluorescence microscopy using antibodies to the COOH terminus of CFTR and c-myc (Fig. 2 B). The ma-



**Figure 2.** Ubiquitinated CFTR molecules accumulate in aggregates. (A) Time course of  $\Delta F508$  accumulation. HEK cells stably expressing low levels of GFP- $\Delta F508$  were incubated in the presence of ALLN (10  $\mu\text{g}/\text{ml}$ ) for the times indicated. Note the absence of plasma membrane staining consistent with the inability of the  $\Delta F508$  to traffic to the cell surface. (B) Colocalization of  $\Delta F508$  with epitope-tagged ubiquitin. HEK cells were transiently cotransfected with  $\Delta F508$  and c-myc-ubiquitin cDNA and incubated in the presence of ALLN (10  $\mu\text{g}/\text{ml}$ ) for 12 h and processed for immunofluorescence with antibodies to CFTR and c-myc. The left panel shows immunolocalization of  $\Delta F508$  and the right panel shows the same field examined for c-myc immunolocalization. (C). Optical section of an aggregate. HEK cells stably expressing GFP- $\Delta F508$  were treated with ALLN for 12 h.

Serial 0.2- $\mu\text{m}$  optical sections were recorded with a cooled CCD camera and deconvolved as described in Materials and Methods. The image shown is a single deconvolved optical section indicating GFP (green) and DNA (blue) localization. Bar, 5  $\mu\text{m}$ .

majority of c-myc-immunoreactive protein was strictly colocalized with  $\Delta F508$ . In control non-ALLN-treated cells, His<sub>6</sub>-c-myc-ubiquitin was present in a diffuse cytoplasmic distribution (data not shown). Since we have shown previously that this His<sub>6</sub>-c-myc-tagged ubiquitin can be coimmunoprecipitated with  $\Delta F508$  or CFTR (Ward et al., 1995), these data strongly suggest that at least a fraction of the  $\Delta F508$  protein that accumulated in the juxtannuclear structure is ubiquitinated.

To more closely examine the juxtannuclear GFP- $\Delta F508$ -containing structure, single optical sections were obtained from digitally deconvolved images (Fig. 2 C). These images reveal that the cluster of fluorescent  $\Delta F508$  near the nucleus is composed of a congregation of many small, discrete, substructures of variable sizes. The image in Fig. 2 C also reveals clearly the relationship between the GFP- $\Delta F508$  aggregate and the edge of the nucleus, indicating the extent to which the nuclear envelope is distorted. In sum, the above data suggest that  $\Delta F508$  molecules that are spared from proteasomal degradation form relatively stable, ubiquitinated aggregates that converge on a single juxtannuclear structure in the cell.

We propose that this structure is formed in response to undegradable (or slowly degraded) protein aggregates and thus propose to name this structure the aggresome. The extent of aggresome formation, as assessed by the size of the inclusion and the relative distortion of the nuclear envelope, is dependent on the level of expression of a poorly folded proteasome substrate and the length of time of exposure to proteasome inhibitor. In cells overexpressing CFTR or  $\Delta F508$  (as in Figs. 3 A and 4, A and B), well-defined aggresomes form spontaneously, in the absence of proteasome inhibitor. In cells expressing low levels of the protein (as in Fig. 2 A), aggresomes are observed only after chronic exposure to proteasome inhibitor.

### Aggresomes Form at the MTOC

The singularity and juxtannuclear position indicates that the aggresome is in the region of the cell that also contains the Golgi apparatus. However, comparison of GFP-CFTR (Fig. 3) or GFP- $\Delta F508$  (data not shown) fluorescence with immunostaining for the Golgi markers manII and  $\beta$ -COP reveals that aggresomes are distinct from the Golgi appa-

ratus. Whereas the manII staining was present in characteristic, discrete structures reflecting the localization of these markers to Golgi vesicles and cisternae (Velasco et al., 1993; Stamnes et al., 1995), the GFP fluorescence was typically found as a single discrete bright focus, often surrounded by fine, diffuse granular particles (this diffuse appearance is especially evident in the images shown in Fig. 3 C). In some cases (e.g., Fig. 3 B), the aggresome appears to be surrounded by the Golgi apparatus. Therefore, these data suggest that the aggregated forms of CFTR and  $\Delta F508$  are not in or associated with the cisternae of the Golgi apparatus. This finding is consistent with our biochemical data showing that detergent-insoluble  $\Delta F508$  and CFTR is not glycosylated (Fig. 1), and with previous studies which show that  $\Delta F508$  molecules are unable to mature to the Golgi apparatus (Lukacs et al., 1994; Ward and Kopito, 1994). Together with the lack of effect of brefeldin A on aggresome structure or formation (data not shown), these data argue strongly that aggresomes are distinct from and are not associated with the Golgi apparatus.

We also compared the distribution of the GFP-CFTR-containing structure with that of LAMP-1, a marker for lysosomes, which also congregates in the Golgi region (Fig. 3 C) (Matteoni and Kreis, 1987). LAMP-1 immunofluorescence was found in discrete vesicular structures throughout the cytoplasm, with the highest density in the juxtannuclear/Golgi region. Although the distribution of LAMP-1 immunofluorescence was close to that of GFP-CFTR aggregates, the patterns were clearly distinct and non-overlapping, suggesting that aggresomes are distinct from lysosomes. Moreover, treatment of the cells with the lysosomal inhibitor NH<sub>4</sub>Cl or 3-methyladenine, an inhibitor of autophagy (Vielhaber et al., 1996; Jia et al., 1997), failed to reveal any overlap.

Since the GFP-CFTR- and GFP- $\Delta F508$ -containing structures were consistently in the proximity of but not coincident with markers for either Golgi apparatus or lysosomes, we considered the relationship of aggresomes to the centrosome/MTOC. In cells stably overexpressing GFP-CFTR, fluorescence was detectable in the plasma membrane and in small aggresomes that formed spontaneously in the absence of proteasome inhibitor (as in Fig. 1 B). Fig. 4 A shows a strong correlation between aggresomal GFP-CFTR fluorescence and staining for  $\gamma$ -tubulin, a

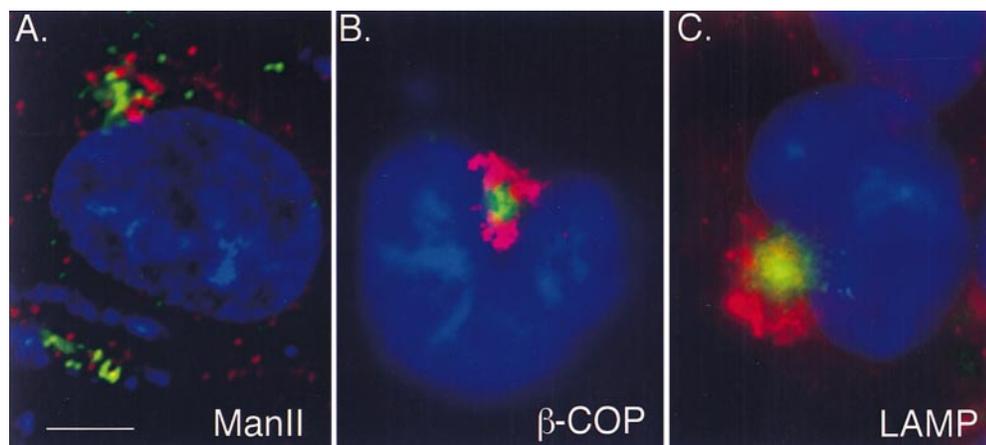
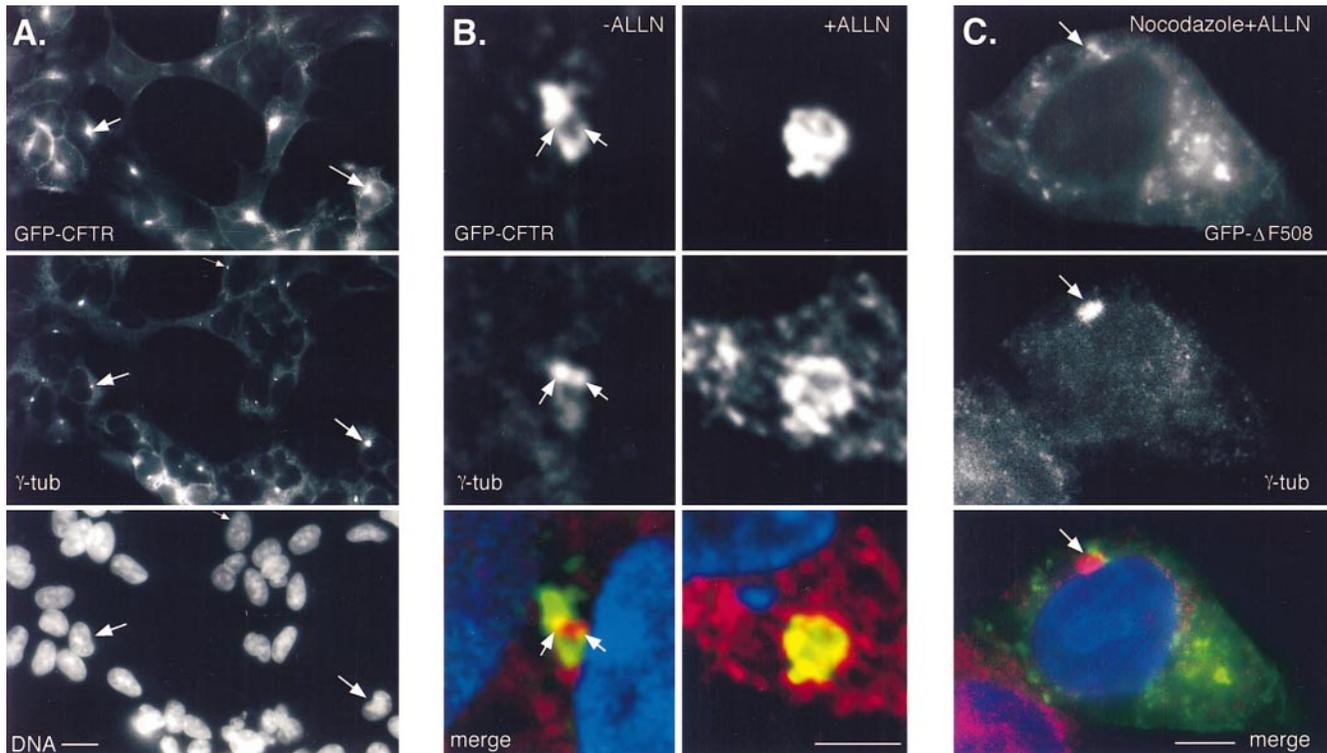


Figure 3. Aggresomes are distinct from Golgi and lysosomal markers. GFP-CFTR (green) visualized together with immunofluorescent staining (red) for Golgi markers manII (A),  $\beta$ -COP (B), and LAMP (C). Cells in B and C were treated overnight with ALLN and digitally imaged using conventional optics. The image in A is a 0.2- $\mu$ m optical section obtained as described in the legend to Fig. 2 C. Bar, 15  $\mu$ m.



**Figure 4.** Aggregates form at the MTOC and require MT for formation. HEK cells stably expressing GFP-CFTR were immunostained with antibody to  $\gamma$ -tubulin and imaged for GFP fluorescence (*top*),  $\gamma$ -tubulin immunofluorescence (*middle*), and DNA (*A, bottom*). (*A*) CFTR and  $\gamma$ -tubulin and DNA in a field of cells. (*B*) Effect of ALLN. Cells were incubated for 12 h in the presence of untreated (*left*) or 10  $\mu$ g/ml ALLN (*right*). (*C*) Effect of MT disruption. Cells were incubated for 12 h in the presence of ALLN (10  $\mu$ g/ml) together with nocodazole (10  $\mu$ g/ml). Arrows denote the location of the centrosome. The images in *A* and *C* were obtained using conventional optics whereas the images in *B* are single 0.2- $\mu$ m optical sections. GFP and  $\gamma$ -tubulin fluorescence were digitally overlaid in the bottom panels in *B* and *C*. Bars, 15  $\mu$ m (*A*), 5  $\mu$ m (*B* and *C*).

centrosome marker (Dichtenberg et al., 1998). Closer examination of the relationship between aggresomes and centrosomes, using digitally deconvolved images (Fig. 4 *B*) resolved two distinct centrosomes that are in effect surrounded by GFP-CFTR. Treatment of these cells with proteasome inhibitor produced characteristic enlarged aggresome, and also appeared to force  $\gamma$ -tubulin into a more diffuse distribution (Fig. 4 *B*). These data demonstrate that aggregates of misfolded protein that escape degradation by the proteasome are targeted to and accumulated at the MTOC. It is possible that the accumulation of ubiquitinated protein aggregates at the MTOC could lead to a disruption of the MT cytoskeleton. Therefore, we examined the organization of MT in aggresome-containing cells. However, no significant abnormalities were observed in aggresome-containing HEK cells stained with antibody to  $\alpha$ -tubulin (data not shown). Because HEK cells are not flat and hence are not well suited for imaging of MT, we also imaged MT in ALLN-treated CHO cells stably transfected with GFP-CFTR (data not shown). Those data confirmed that formation of even large aggresomes had no discernible effect on MT.

#### MT Are Required for Aggresome Formation

The consistent presence of aggresomes at the MTOC suggests a directed process in their formation. Retrograde

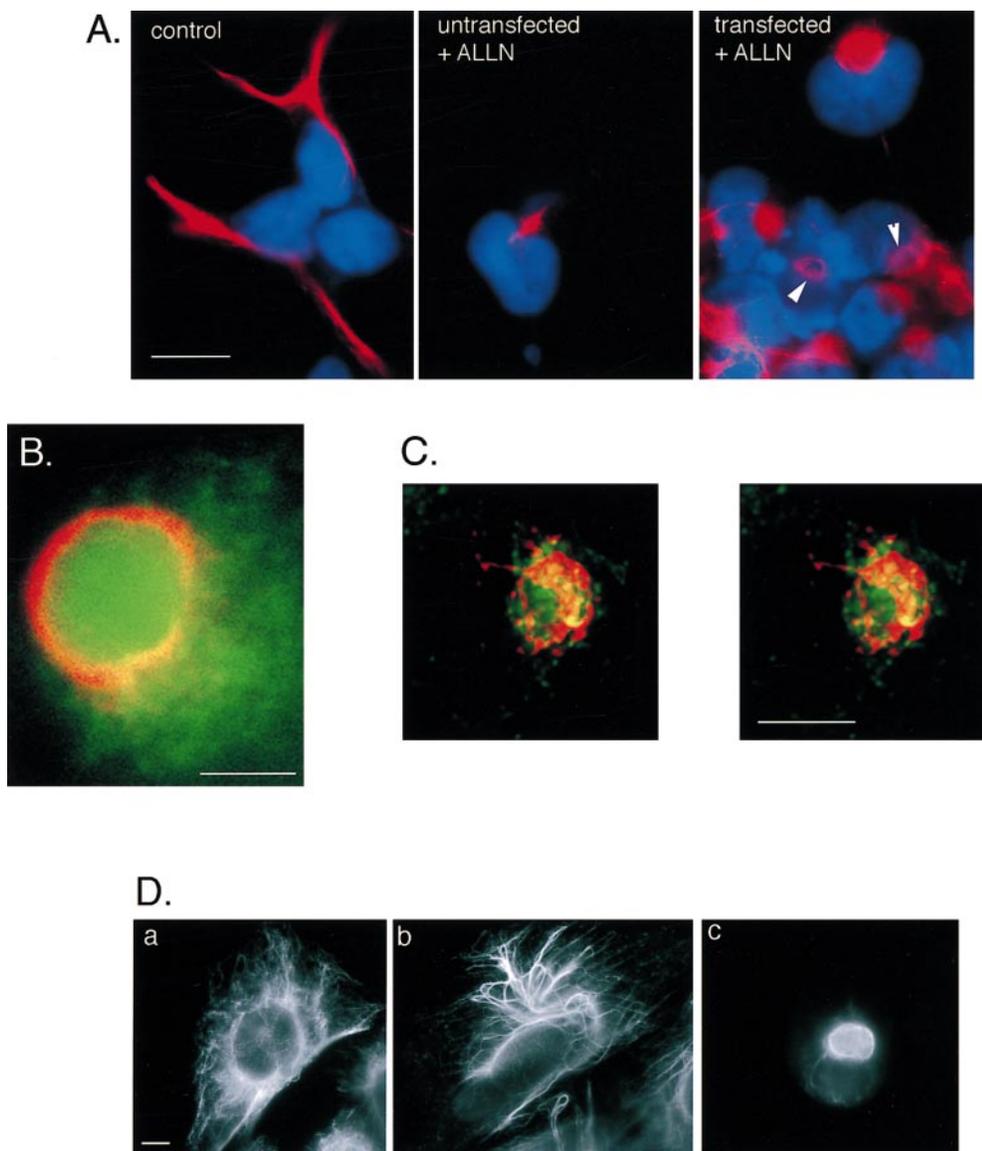
transport on MT could provide one mechanism for the delivery of misfolded proteins to the MTOC. Intact MT are required to establish and maintain the distribution of organelles like lysosomes and Golgi cisternae to this region (Matteoni and Kreis, 1987; Presley et al., 1997; Lippincott-Schwartz, 1998). MT depolymerization causes these structures to become dispersed to the periphery. Therefore, we evaluated the role of MT in aggresome formation, by treating GFP- $\Delta$ F508-expressing cells with MT-disrupting drugs together with proteasome inhibitor (Fig. 4 *C*). Strikingly, treatment with nocodazole completely abrogated the ALLN-induced accumulation of GFP- $\Delta$ F508 at a single large juxtannuclear aggresome. Instead, GFP fluorescence was observed at multiple sites throughout the cytoplasm with no obvious concentration near the MTOC, although the juxtannuclear localization of  $\gamma$ -tubulin was not disrupted. Nocodazole also prevented the characteristic distortion of the nuclear envelope that accompanies aggresome formation. Identical results were obtained with MT-disrupting drugs colchicine and vinblastine (data not shown). Disruption of actin with cytochalasin B did not prevent aggresome formation (data not shown), confirming that the effect of nocodazole was specific for MTs. In the absence of proteasome inhibitor, MT-disrupting agents had no effect on either the stability or detergent solubility of GFP- $\Delta$ F508 or GFP-CFTR (data not shown). These data demonstrate that an intact MT-based cytoskel-

eton is required for the formation of aggresomes. However, we observed that preexisting aggresomes cannot be effectively dispersed by MT disruption, even after prolonged (>6 h) incubation with nocodazole. This observation suggests that intact MT are not required for the maintenance of aggresomes and raises the possibility that interactions with other components at the MTOC must contribute the long-term stability of the aggresome.

### Reorganization of IF Accompanies Aggresome Formation

The data presented above implicate the MT cytoskeleton in aggresome formation. Therefore, it was important to assess whether formation of aggresomes resulted in changes in the organization of the major cytoskeletal elements, actin, and IF. No significant alterations in the distribution of actin (data not shown) were observed in  $\Delta F508$ - or CFTR-expressing cells, even after overnight incubation with proteasome inhibitors. In sharp contrast, aggresome forma-

tion was highly correlated with profound changes in the distribution of the IF protein, vimentin (Fig. 5). In control cells, vimentin antibodies decorate long, fibrous elements that extend into cellular processes (Fig. 5 *A*, *left*), consistent with previous descriptions of the distribution of this IF protein in interphase cells (Franke et al., 1978; Rosevear et al., 1990). By contrast, in essentially 100% of ALLN-treated, GFP-CFTR-expressing cells (Fig. 5 *A*, *right*) vimentin was found to be completely redistributed to the aggresomal region. In these cells, vimentin formed a ring-like halo surrounding the core of the aggresome (Fig. 5 *A*, *arrows*, and *B*). This vimentin ring was further resolved by three-dimensional reconstruction of serial optical sections, which reveal that the aggresome consists of a core of GFP-CFTR particles surrounded by a cage-like structure composed of condensed vimentin-positive fibers (Fig. 5 *C*). The numerous spots of coincidence between GFP and vimentin fluorescence in this image suggest an intimate contact between the vimentin fibers and GFP-CFTR within the aggresome. This aggresomal redistribu-



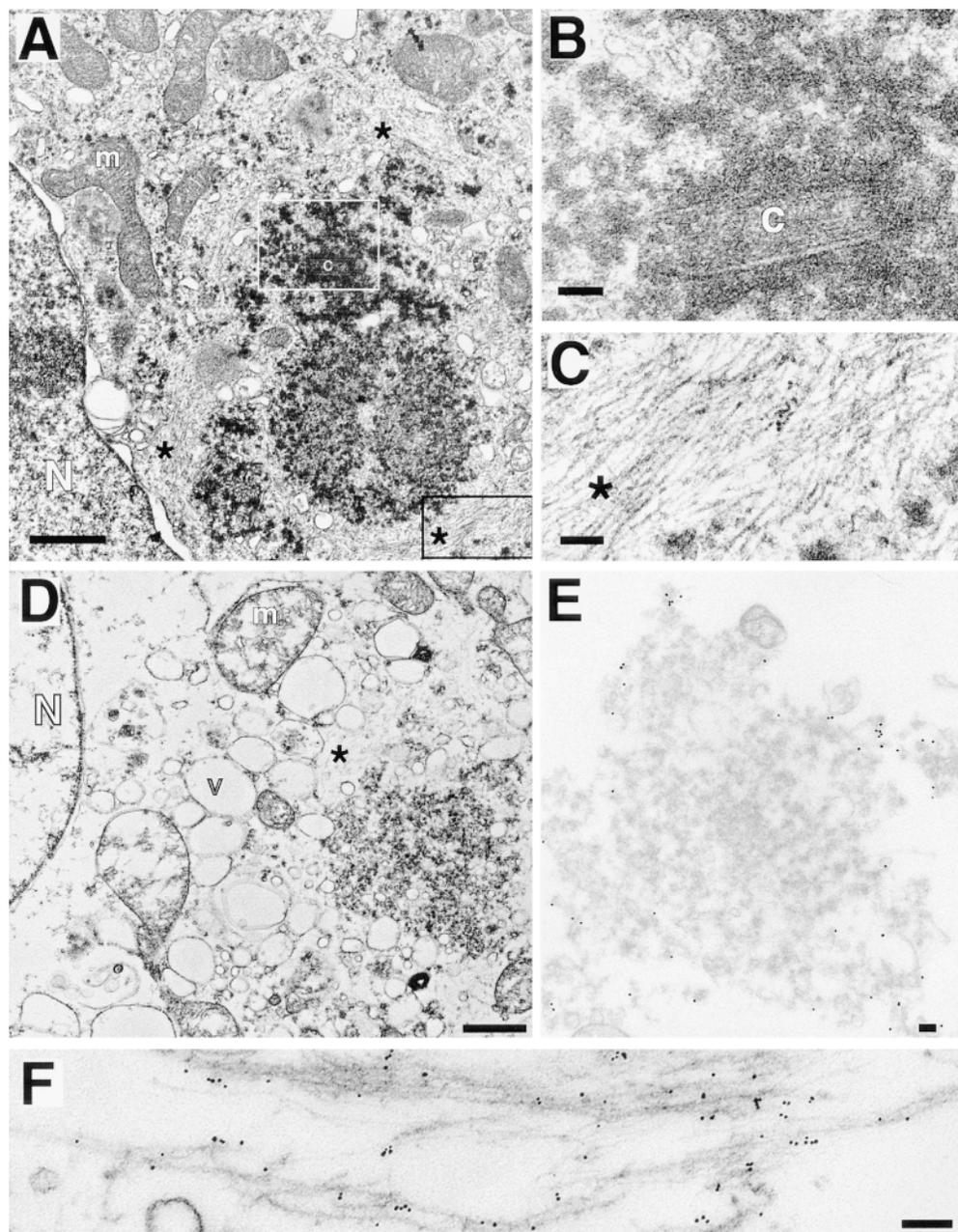
**Figure 5.** Aggresome formation is accompanied by a reorganization of the vimentin cytoskeleton. (*A*) Effect of ALLN on the distribution of vimentin (red) with respect to the nucleus (blue) in untransfected (*left* and *middle*) and CFTR transfected (*right*) HEK cells. Cells were either untreated (*left*) or incubated for 12 h in 10  $\mu\text{g/ml}$  ALLN (*middle* and *right*). Note ring-like appearance of vimentin fluorescence in ALLN-treated CFTR-expressing cells (*arrows*). (*B*) Colocalization of GFP-CFTR (green) and vimentin (red) in ALLN-treated cells. (*C*) Stereo pair of optical sections from deconvolved stack of images from ALLN-treated cells showing GFP-CFTR (green autofluorescence) and vimentin (red immunofluorescence). Nuclear fluorescence signal has been omitted. (*D*) Distribution of vimentin in drug-treated CHO cells. CHO cells stably expressing GFP-CFTR were treated with carrier (*a*), 10  $\mu\text{g/ml}$  nocodazole (*b*), or 10  $\mu\text{g/ml}$  ALLN (*c*) for 12 h and then immunostained with antibodies to vimentin. Note the condensation and bundling of vimentin in *b*, as compared with the complete collapse of vimentin in *c*. Bars, 15  $\mu\text{m}$  (*A*), 2.5  $\mu\text{m}$  (*B*), and 5  $\mu\text{m}$  (*C* and *D*).

tion of vimentin is distinct from the “collapse” of vimentin to a juxtannuclear cap (Franke et al., 1978) or whorl (Starger and Goldman, 1977) that is observed after treatment of cells with MT-disrupting agents (Fig. 5 *D*). We also observed an identical redistribution of vimentin to form ring-like structures around pericentriolar aggresomes formed entirely by overexpression of CFTR in the absence of exogenously added proteasome inhibitors (data not shown), indicating that aggresome formation can result from saturation of the ubiquitin-proteasome pathway and does not require direct loss of proteasome function. Interestingly, overnight treatment with proteasome inhibitor induced vimentin to redistribute to a small juxtannuclear spot in a fraction (25–50%) of untransfected cells (Fig. 5 *A*, middle), suggesting either that aggresomes can form by aggregation of endogenous proteasome substrates or, alterna-

tively, that pericentriolar vimentin redistribution may reflect an indirect effect of proteasome inhibition, possibly on the activity of regulatory factors which control IF dynamics. Future experiments will be needed to discriminate between these two possibilities. Taken together, these data show that aggresome formation is consistently accompanied by a massive redistribution of vimentin to a pericentriolar and periaaggresomal location.

### Ultrastructure of Aggresomes

We used transmission EM to resolve the structure of aggresomes and to assess their relationship to the centrosome and to IF (Fig. 6). In cells expressing  $\Delta F508$  and treated overnight with proteasome inhibitor, aggresomes appear as massive accumulations of closely packed elec-



**Figure 6.** Transmission EM of aggresomes. (*A–C*) Transmission EM of HEK cell overexpressing  $\Delta F508$  and treated overnight with ALLN. *B* and *C* are higher magnification views of sections indicated by white and black boxes, respectively. (*D–F*) Transmission EM of crude aggresome fraction from HEK cells expressing GFP-CFTR. Swollen vesicular structures (*v*) and mitochondria (*m*) are the result of hypotonic conditions used during the preparation. Crude aggresomes were incubated with antibodies to GFP (*E*; 15-nm gold particles) and vimentin (*F*; 6-nm gold particles) before embedding and sectioning as described in Materials and Methods. *m*, mitochondrion; *N*, nucleus; *c*, centriole; *v*, vesicle; \*, filamentous material  $\sim 8$ – $10$  nm per filament. Bars for *A* and *D*, 1  $\mu\text{m}$ ; *B*, *C*, *E*, and *F*, 100 nm.

tron-dense particles in the region of the centrosome. Three clusters of particles are evident in the cell shown in Fig. 6 *A*, the largest of these is nearly round and is immediately adjacent to a cluster in which the particles surround a single centriole (shown at higher magnification in Fig. 6 *B*). The entire region containing electron-dense particles appears to be “wrapped” by bundles of filamentous material. Both the electron-dense particles and the filamentous structures were consistently observed in proteasome-inhibited cells expressing  $\Delta$ F508, CFTR, GFP-CFTR, or GFP- $\Delta$ F508, but never in untreated, untransfected cells (data not shown). However, in untransfected, proteasome-inhibited cells, we consistently observed a juxtanuclear condensation of IF in the virtual absence of electron-dense particles (data not shown), consistent with the data in Fig. 5 *A* showing that proteasome inhibition alone is sufficient to induce vimentin redistribution. These observations, together with the distribution of GFP- $\Delta$ F508 fluorescence (Figs. 2–5), suggest that the electron-dense particles are composed of aggregates of misfolded CFTR molecules, a conclusion that is strongly supported by immunogold labeling (see below). At higher magnification (Fig. 6 *B*) this electron-dense,  $\Delta$ F508-containing material can be resolved into roughly spherical membrane-free particles ranging in diameter from 60 to 80 nm and surrounding a clearly visible centriole. At this magnification, it was possible to determine that the fibrous region consisted of individual filaments 8–10 nm in diameter (Fig. 6 *C*). These filaments are organized into roughly parallel bundles around the periphery of the aggresome and appear as disordered tangles at the core. Frequently, mitochondria, electron lucent vesicles, and multivesicular bodies appear to be trapped at the periphery of the filamentous meshwork of the aggresome.

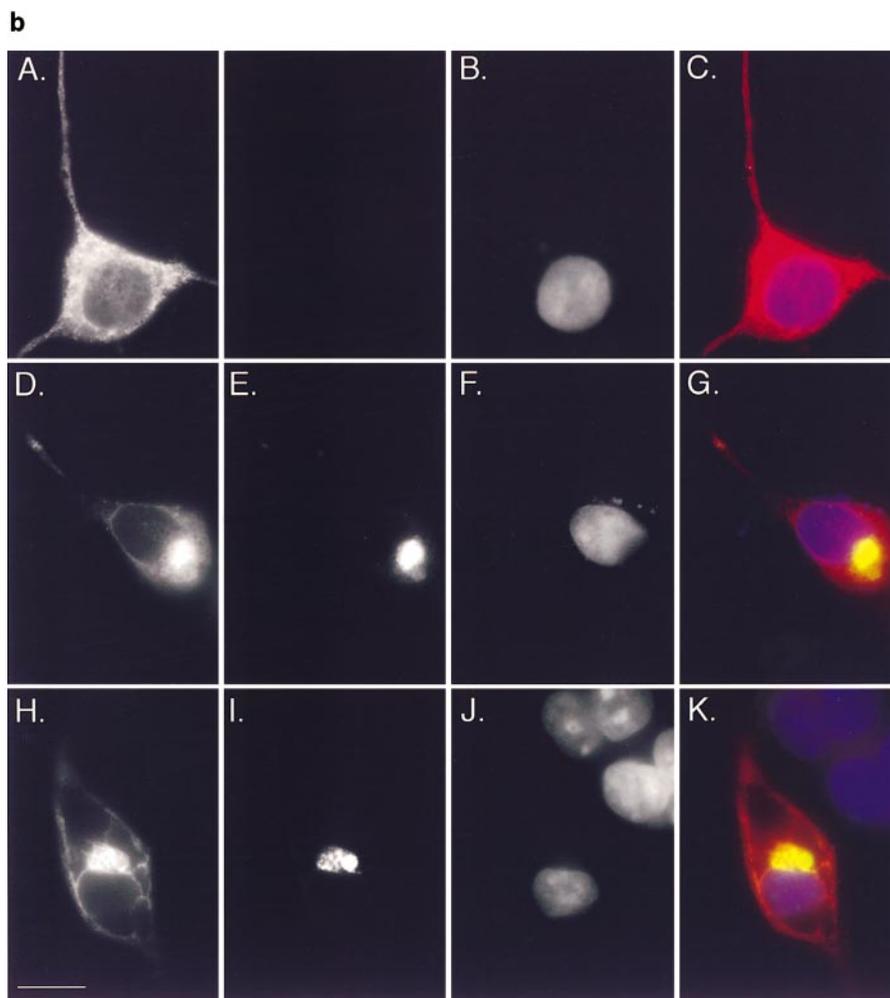
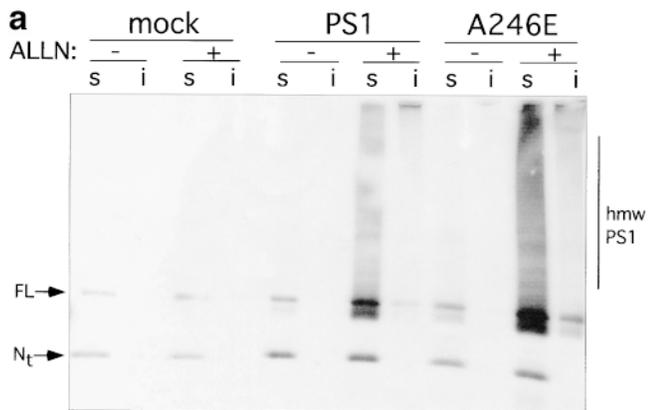
To further characterize aggresomes and to confirm the identity of the electron-dense particles and filaments, a crude fraction of aggresomes was prepared from homogenates of GFP-CFTR-expressing cells (Fig. 6 *D*) and immunogold labeled with antibodies to GFP and to vimentin (Fig. 6, *E* and *F*). Aggresomes isolated in this manner were usually organized around a centriole or centriole pair and frequently cofractionated with an intact nucleus, suggesting a tight association among these structures (Fig. 6 *D*). The periphery, but not the interior, of the aggresome was strongly immunoreactive with antibodies to GFP (15-nm gold particles; Fig. 6 *E*) and to vimentin (6-nm gold particles; Fig. 6 *F*), confirming the localization of these proteins to aggresomes, and suggesting (not surprisingly) that the close packing of filaments and electron-dense particles in the aggresome effectively excludes penetration of 6–15-nm gold. At higher magnification, decoration of the 8–10-nm filaments and filament bundles with 6-nm gold is evident, confirming that they are composed of vimentin. It is also apparent that the 15-nm gold particles (directed against GFP) label primarily the 60–80-nm electron-dense particles, confirming that they are composed of misfolded CFTR protein. Significantly, no membranes are observed in the CFTR-containing particles, consistent with our observation (Fig. 1) that the insoluble forms of CFTR and  $\Delta$ F508 are not glycosylated. In conclusion, these data demonstrate that aggresomes are clusters of 60–80-nm misfolded CFTR particles entangled in and surrounded by a meshwork of vimentin IF.

### ***Aggresome Formation Is a General Cellular Response to Misfolded Protein***

To assess the generality of the aggresome response, we evaluated the effect of proteasome inhibition on the intracellular distribution of another integral membrane protein, PS1 (Fig. 7). Immunoblot analysis of untransfected HEK cells with antibody to the NH<sub>2</sub> terminus of PS1 (PS1<sub>NT</sub>; Thinakaran et al., 1996) revealed a low level of expression of both the ~43-kD full-length and the 27-kD NH<sub>2</sub>-terminal fragment of this ubiquitously expressed protein (Fig. 7 *a*). Transfection of PS1 and A246E dramatically increased the steady-state levels of both of these forms. Incubation of PS1- or A246E-expressing cells with ALLN for 16 h led to a substantial increase in steady-state level of full-length protein and to the appearance of detergent-insoluble full-length and high molecular weight forms. These data suggest that, like PS2 (Kim, 1997), overexpressed PS1 molecules are degraded in a proteasome-dependent fashion. Immunofluorescence microscopy was used to determine the intracellular site of PS1 accumulation in transfected HEK cells (Fig. 7 *b*). In control cells not treated with proteasome inhibitor, PS1 (data not shown) and A246E (Fig. 7 *b*, panels *A–C*) both appeared in a diffuse, reticular pattern, in agreement with other reports (Kovacs et al., 1996; De Strooper et al., 1997) indicating the predominant localization of PS1 to the ER. By contrast, in proteasome-inhibited cells, PS1 (data not shown) and A246E (Fig. 7 *b*, panel *D*) were largely redistributed to aggresomal structures, as judged by their colocalization with GFP-CFTR in cells coexpressing A246E and GFP-CFTR (Fig. 7 *b*, panel *D–G*) and with c-myc-ubiquitin in cells cotransfected with A246E and myc-tagged ubiquitin (Fig. 7 *b*, panels *H–K*). These data, together with the observation that the mutant and wild-type PS1 aggresomes are also associated with redistributed vimentin (data not shown), strongly support the conclusion that aggresome formation is not limited to CFTR.

### ***Discussion***

In this paper we describe a novel structure, the aggresome, which is formed when a cell's capacity to degrade misfolded proteins is exceeded. We define the aggresome as a pericentriolar membrane-free, cytoplasmic inclusion containing misfolded, ubiquitinated protein ensheathed in a cage of IF. Rather than appearing as amorphous globs that might form by diffusion-limited aggregation of denatured protein, aggresomes exhibit a surprising degree of structural organization. Instead of forming at random sites within the cytoplasm, which would be predicted by a “seed” based model of inclusion body formation (Lansbury, 1997), aggresomes are formed specifically at the MTOC by an ordered process requiring intact MT and accompanied by a dramatic reorganization of the IF cytoskeleton. Our data indicate that different proteins, including CFTR and PS1, can form the aggresomal core, leading us to propose that aggresome formation is a general response of cells which is induced when the capacity of the proteasome is exceeded by the production of aggregation-prone misfolded proteins. Below, we propose a model for



**Figure 7.** Accumulation of PS1 and A246E after treatment with ALLN. HEK cells transfected with vector alone, PS1, or A246E were incubated for 12 h in the presence or absence of ALLN (10  $\mu\text{g/ml}$ ) as indicated and processed for immunoblotting (a) or immunofluorescence (b). (a) Immunoblot. Cells were lysed and separated into detergent-soluble (s) or -insoluble (i) fractions as described in Materials and Methods and probed with antibody to the NH<sub>2</sub> terminus of PS1. (b) Immunofluorescence localization of A246E in HEK cells. HEK cells expressing A246E were either untreated (A–C) or treated (D–K) with ALLN. In D–G A246E was transfected into HEK cells that stably express GFP-CFTR. In H–K, A246E was cotransfected with c-myc-ubiquitin into naive HEK cells. Cells were prepared for immunocytochemistry as described in Materials and Methods and stained with antibody to the NH<sub>2</sub> terminus of PS1 (A, D, and H), antibody to c-myc (I), or with bisbenzimidide to visualize nuclei (B, F, and J). GFP-CFTR fluorescence was imaged in E. C, G, and K are digital overlays. Bar, 15  $\mu\text{m}$ .

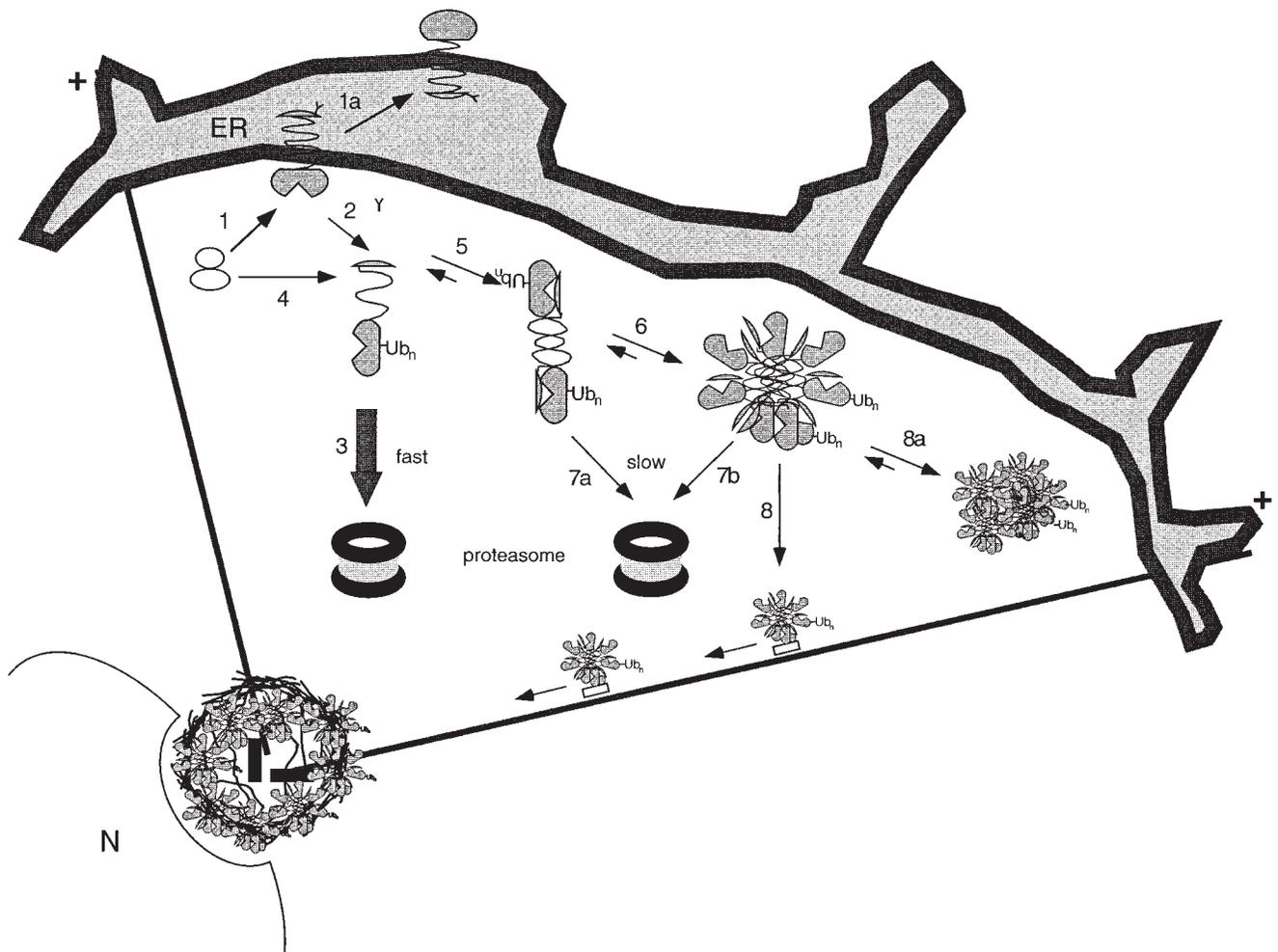
aggresome formation and discuss implications for understanding the toxicity associated with protein aggregation.

### A Model for Aggresome Formation

A model for aggresome formation is illustrated in Fig. 8. Integral membrane proteins like CFTR and PS1 that are cotranslationally translocated and glycosylated at the ER (step 1) can either fold (step 1a) or be multiubiquitinated and targeted for rapid degradation by the proteasome

(steps 2 and 3). The data in this paper show that aggresome formation results either from overexpression of inefficiently folded proteins like CFTR or  $\Delta\text{F508}$  (increasing steps 1 and 2), or from inhibiting proteasomes (preventing steps 3, 7a, and 7b), suggesting that the formation of aggresomes is a cellular stress response pathway for misfolded protein.

Proteasomal degradation has now been demonstrated for a host of misfolded polytopic and monotopic integral membrane proteins in addition to CFTR (Hampton et al.,



**Figure 8.** Model for aggresome formation. Numbers indicate various steps in the aggresome biogenesis pathway and are explained in the Discussion. Membrane proteins are cotranslationally translocated to the membrane of the ER (1). Some molecules fold to adopt a maturation-competent conformation (1a). Others misfold and are dislocated from the ER membrane (2). Some proteins may escape the translocation machinery and be delivered directly to the cytoplasm (4). Dislocated, ubiquitinated, misfolded protein can either be rapidly degraded by cytosolic proteasomes (3) or aggregate (5 and 6). Because aggregates are difficult to unfold, they are likely to be slowly degraded by the proteasome (7a and 7b). Misfolded, aggregated protein is transported to the MTOC by MT where it becomes entangled with collapsed IF (8). In the absence of MT, protein aggregates coalesce at dispersed sites throughout the cytoplasm (8a). *N*, nucleus; *Ub<sub>n</sub>*, ubiquitin conjugates; +, orientation of MT in the cell.

1996; Hughes et al., 1997; Huppa and Ploegh, 1997; Yu et al., 1997). Delivery of core *N*-glycosylated integral membrane polypeptides to cytoplasmic proteasomes requires their dislocation across the ER membrane by a Sec61p-dependent process and is usually accompanied by deglycosylation, presumably by cytoplasmic *N*-glycanase (step 2) (Kopito, 1997). In this paper we show that the detergent-insoluble forms of CFTR and  $\Delta F508$  that accumulate in aggresomes are not glycosylated (band A). That these molecules have become dislocated is strongly supported by pulse-chase studies showing that nascent  $\Delta F508$  and CFTR are initially detergent-soluble and core-glycosylated (band B) and by the observation that the 60–80-nm CFTR-containing aggresomal particles are not membrane associated. The observation that misfolded forms of CFTR and  $\Delta F508$  are chased into a deglycosylated form was con-

firmed in a recent report that also provided evidence from cell fractionation studies suggesting that some misfolded CFTR molecules are cytosolic (Bebök et al., 1998). However, it is also possible that, in our experiments as well as those of Bebök et al. (1998), some unglycosylated, misfolded CFTR and  $\Delta F508$  could enter the cytoplasmic pool directly as a result of failure or inefficiency of the translocation machinery (step 4).

Irrespective of the delivery pathway to the aqueous cytoplasmic compartment, hydrophobic forces would rapidly drive a dislocated or mis-translocated integral membrane protein like CFTR or PS1 into an alternative conformation in which the transmembrane stretches of apolar amino acids are maximally buried. It is likely that the presence of a large hydrophobic surface, as in a polytopic integral membrane protein, might drive the dislocated protein

to assume a micelle-like oligomeric structure (steps 5 and 6). We speculate that the 60–80-nm spheroidal cytoplasmic particles that accumulate in proteasome-inhibited cells may represent such micelle-like formations. The presence of CFTR in these particles is strongly supported by immunolocalization with antibodies to CFTR and to GFP and by control experiments showing that these particles are largely absent from proteasome-inhibited cells that do not express CFTR. However, our data do not eliminate the possibility that, in addition to CFTR, other proteins may also be present in aggresomal particles. Future investigations will be required to purify the particles and to assess their biochemical and biophysical properties.

Binding of molecular chaperones like Hsp70 or Hsp90 to surface-exposed hydrophobic domains on nascent or misfolded proteins can serve to minimize protein aggregation (Hartl, 1996). Indeed, persistent association of misfolded CFTR molecules with Hsp70 has been reported (Yang et al., 1993). It has been suggested that the presence of multiubiquitin chains could also help to increase the solubility of misfolded proteins (Beal et al., 1998). However, the most effective way to prevent cytoplasmic aggregation of misfolded integral membrane proteins would be to directly couple their dislocation (step 2) to degradation by the proteasome (step 3). Moreover, because proteasomes can only degrade proteins in an unfolded open-chain conformation (Baumeister et al., 1997) such coupling of dislocation and degradation would increase the efficiency of proteolysis by eliminating the need for unfolding. Although there is some evidence suggesting that dislocation and degradation may indeed be coupled (Mayer et al., 1998), the cytoplasmic accumulation of dislocated deglycosylated, integral membrane proteins like CFTR, TCR- $\alpha$  (Huppa and Ploegh, 1997; Yu et al., 1997), or MHC class I heavy chains (Hughes et al., 1997) in the presence of proteasome inhibitors argues that such coupling is not obligatory.

Our data suggest that retrograde transport on MT is used to deliver misfolded protein aggregates from the peripheral sites where they are generated to a central location at the MTOC (step 8). In support of this hypothesis is the observation that MT disruption prevents delivery of CFTR-containing particles to a single juxtannuclear aggresome (step 8a). Instead, when proteasomal degradation is blocked in the presence of MT-depolymerizing drugs, heterogeneous CFTR aggregates are observed at multiple foci throughout the cytoplasm (Fig. 4 C). Transmission EM of these cells reveals that the peripheral CFTR foci are composed of 60–80-nm particles indistinguishable from aggresomal particles (data not shown), indicating that particle translocation to the MTOC, but not particle formation, requires intact MT. While these data do not demonstrate a direct role for MT or retrograde motors in the delivery of misfolded proteins to the aggresome, preliminary studies showing that taxol-stabilized MT from proteasome-inhibited CFTR-expressing cells can be specifically decorated with immunogold-tagged antibodies to CFTR, suggest that dislocated CFTR molecules do indeed bind to MT (Johnston, J.A., and R.R. Kopito, unpublished data). However, further study will be needed to determine the role of MT, molecular motors, and protein adapters in aggresome formation.

Our data demonstrate that, once formed, aggresomes are quite stable structures. Pulse-chase studies indicate that aggresome formation is accompanied by a nearly 50-fold increase in the half-life of CFTR or  $\Delta F508$ . Compact, juxtannuclear aggresomes persist for hours after washout of proteasome inhibitors, even in the presence of MT-disrupting drugs, suggesting that aggresomes are not highly dynamic structures held together by a balance between MT-dependent assembly and rapid disassembly. We speculate that the dense network of IF that encircle and enmesh the aggresome serve to stabilize it by restricting the diffusion of aggregated protein particles. Our data demonstrate that vimentin is a component of these filaments, although we cannot exclude the possibility that some filaments may be formed by amyloid-like polymerization of alternative conformers of CFTR or other misfolded proteins.

We observe that an early and invariant step in aggresome formation is the redistribution of vimentin to a single pericentriolar site. In interphase cells, IF form a branching cytoskeletal network that extends to the cell periphery (Houseweart and Cleveland, 1998). Disruption of MT leads in many cell types to collapse of vimentin to form various alternative structures, including perinuclear whorls (Franke et al., 1978) and frequently a large juxtannuclear cap composed of bundles of 10-nm vimentin filaments (Starger and Goldman, 1977). It is important to note that the aggresomal vimentin redistribution observed in the present work differs fundamentally from that which occurs upon MT disruption. Aggresomal vimentin consistently appears as a tight ring- or cage-like structure surrounding the pericentriolar focus of aggregated protein, whereas, in nocodazole-treated cells, vimentin appears as elongated bundles of filaments capping the nucleus (Fig. 5 D). Moreover, the vimentin collapse observed upon aggresome formation cannot be due to MT disruption because aggresome formation is blocked by MT-disrupting drugs (Fig. 4 C). Finally, our data show that, despite deposition of protein at the MTOC, aggresome formation in HEK or CHO cells is not accompanied by a gross disruption of the MT cytoskeleton.

Previous studies have reported that IF undergo dynamic changes in their organization during the cell cycle (Blose and Bushnell, 1982; Rosevear et al., 1990) that appear to be regulated, at least in part, by site-specific vimentin phosphorylation (Inagaki et al., 1987). Vimentin is a substrate for phosphorylation *in vivo* by cell cycle-regulated kinases including Cdc2 (Chou et al., 1990) and Rho kinase (Goto et al., 1998). During mitosis, vimentin filaments form cage- or basket-like (Zieve et al., 1980) structures which surround the spindle and spindle pole (Blose and Bushnell, 1982). These structures resemble the pericentriolar cages that encircle aggresomes. Since the cell cycle is regulated by kinases and phosphatases which are themselves controlled at the level of ubiquitin-dependent proteolysis (Hershko, 1997; Krek, 1998), and since treatment of cells with proteasome inhibitors can inhibit cell cycle progression (Tsubuki et al., 1996; Fenteany and Schreiber, 1998), it is likely that the conditions which lead to aggresome formation would result in cell cycle dysregulation. It is possible that the collapse of vimentin around unduplicated interphase centrosomes during aggresome forma-

tion may reflect phosphorylation of this IF by inappropriately activated mitotic kinases. Additional studies will be needed to test this hypothesis and to assess the effect of aggresome formation on proteasome function and cell cycle regulation.

In this paper we demonstrate that unprocessed PS1 molecules accumulate in aggresomes indistinguishable from those containing misfolded CFTR. At the expression levels used in this study, mutant and wild-type PS1 have an ER distribution, consistent with other studies that have localized both PS1 and PS2 to ER membranes (Kovacs et al., 1996; De Strooper et al., 1997; Lah et al., 1997; Zhang et al., 1998). In the functional absence of proteasomes, we find that PS1 and A246E redistribute to a juxtannuclear site which, based on colocalization with CFTR, vimentin, and  $\gamma$ -tubulin, is an aggresome. Studies in which PS1 or PS2 have been overexpressed have suggested that, in addition to the ER, these proteins may also be present in the Golgi (Kovacs et al., 1996; De Strooper et al., 1997; Lah et al., 1997; Zhang et al., 1998). It is possible that overexpression of PS1 in some cell types, in the absence of proteasome inhibitors, results in deposition of some PS1 in aggresomes, which could, in the absence of careful controls and high resolution imaging, be mistaken for the Golgi apparatus. Our findings may also help to explain the observation that endogenous PS1 and PS2 can be identified in the centrosomes of cultured primary fibroblasts (Li et al., 1997), which suggest that, even in the absence of overexpression, a fraction of PS1 may be targeted to the aggresomal pathway. The demonstration that PS2 (Kim et al., 1997) and PS1 (this study) are substrates of the cytoplasmic ubiquitin-proteasome pathway, together with our data demonstrating that PS1 can accumulate in aggresomes, may also have significance for the pathogenesis of spontaneous Alzheimer's disease, particularly in light of the observation that PS1 is present in neurofilament-rich cytoplasmic inclusion bodies and dystrophic neurites in Alzheimer's disease (Busciglio et al., 1997; Chui et al., 1998).

Aggresomes are not restricted to misfolded forms of CFTR. In addition to CFTR and PS1, we have also found that undegraded  $\alpha$  chains of the oligomeric T cell antigen receptor (TCR- $\alpha$ ) colocalize with CFTR in aggresomes when their degradation by the proteasome is blocked (Yu, H., J. Johnston, and R. Kopito, unpublished data). Like CFTR, inhibition of TCR- $\alpha$  degradation with proteasome inhibitors leads to cytoplasmic accumulation of detergent-insoluble, deglycosylated forms of the protein (Yu et al., 1997). Taken together, these similarities lead us to propose that aggresomes are a general cellular response to cytoplasmic accumulation of misfolded protein. Because aggresomal localization could be mistaken for the Golgi apparatus, our findings suggest that caution should be exercised in interpreting the cellular localization of overexpressed proteins.

### ***Implications for Cell Physiology and Pathology***

The experimental conditions used to generate aggresomes in this study, overexpression and proteasome inhibition, impose a significant stress on the cell's degradative capacity. Like the use of other stressors which induce protein misfolding such as heat-shock or incorporation of amino

acid analogues (Thomas et al., 1982), or tunicamycin treatment (Marquardt and Helenius, 1992), our experiments reveal the existence of an underlying cellular homeostatic pathway. The data in this paper lead us to speculate that retrograde transport on MT serves to clear the cytoplasm of potentially toxic aggregates of misfolded proteins which have escaped degradation by the ubiquitin-proteasome pathway. In addition to serving a sequestration role, it is possible that the aggresome formation provides a "staging ground" for the incorporation of protein aggregates into autophagic structures (Earl et al., 1987), possibly by facilitating interaction with endosomes and lysosomes which are also delivered by MT to the same region of the cell (Matteoni and Kreis, 1987). Consistent with this notion, we frequently observe double-membrane vesicular structures in the immediate vicinity of the aggresome (data not shown).

Many human neurodegenerative diseases are characterized by the presence of morphologically and biochemically distinct intracellular inclusions which contain deposits of aggregated, ubiquitinated proteins that are often bundled with hyperphosphorylated and disordered IF (Mayer et al., 1989*a,b*). The striking morphological and biochemical similarity between experimentally induced aggresomes and many of the neuronal and glial lesions observed in idiopathic, familial, and animal models of degenerative disease (Mayer et al., 1991) suggests that the misfolded protein response pathway described in this paper may participate in pathogenesis. Although aggresome formation may provide a cytoprotective role, the long-term accumulation of aggregates and IF near the MTOC could explain the defects in MT-based axonal transport that are associated with neurological disease (Zhang et al., 1997). Alternatively, because aggregates are inherently difficult to unfold, they could act as competitive inhibitors of the 26S proteasome, resulting in progressive loss of proteasome activity and, ultimately, in a derangement of critical cell regulatory factors that are normally controlled by proteolysis.

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